## **SYLLABUS**

## **Course-BSW**

## Semester-Fourth

## **Paper-Third**

Course	Subject	Subject Code
BSW	Management of Developmental and Welfare Services	BSW-403

## **Unit-I**

#### **Welfare Administration and Tasks**

- Management- Concept, Aims and Scope.
- Concepts of Social Welfare Administration and Public Administration.
- Functions of Administration (PODSCORB)
- Organizational Behavior- Meaning, Nature, Elements and Importance.
- Human Resource Management- Objective, Functions and Scope.
- Concept of Public Relations.

## **Unit-II**

## Organizations, Environment, Services and Delivery-

- Need for Welfare and Developmental Organizations.
- Concept, Need and Process of Registration of Organizations.
- Organizational designs and structure in its Environmental Context.
- Types of Services, Program Delivery and Eligibility Criteria.

#### Unit-Ш

#### **Organisational Policies and Practices-**

- Concept, Meaning and Importance of Authority and Leadership.
- Need for creating work oriented climate and its relevance.
- Interpersonal relationship in organization.
- Communication in organizations.
- Decision making levels and methods.

## **Unit-IV**

## Program Management, Work Process and Tasks-

- Process and phases of Program Planning, Implementation, Documentation and maintenance of records.
- Concept of Budget and Accounts.
- Staff-Client relation, Team work, Supervision, directing, Monitoring and Evaluation.
- Conflict and Conflict resolution.

#### **Unit-V**

#### Social action-

- Meaning, Definition, characteristics, Scope and objectives.
- Principles and Process of Social Action.
- Some major Social Action Programs

## <u>Unit-I</u>

#### Welfare Administration

In early times, social welfare functions were performed by a few individuals or groups of individuals motivated by compassion and concern for the poor, the needy and the destitute. These people were laymen, embodied with the qualities of humanism and selfless service to the community. But in modern times, most of the countries have adopted the concept of a welfare state instead of a police state. The Encyclopedia of Social Sciences describes a welfare state as a state which takes up the responsibility to provide a minimum standard of subsistence to its citizens. Prof. Kent remarked that by a welfare state we mean a state which provides extensive services to the people. Thus, in a welfare state, the administration enters into economic, political, social and educational life of individuals. And it provides services to individuals, right from an individual's birth to death. The state is to serve the old, sick, orphans, widows, helpless, oppressed and the disabled people whenever they are in need of services. In the context of the present day social problems, the size of welfare services provided by an increasingly large number of organizations make administration very important. Social welfare services, schemes, projects and programs, are becoming increasingly complex. Since it is no longer accepted that any normally intelligent person with good intentions can administer the welfare work, a sound administration is vital. It is increasingly realized that social welfare programs require qualified and trained social welfare personnel to perform social welfare functions efficiently. So it is argued that for serving the people effectively it is necessary to professionalize, as professionalization can increase the ability of social welfare personnel to solve the pressing social problems confronting our society.

#### Administration

Before discussing the meaning of social welfare administration, it is necessary to know the meaning of the term administration. Administration is a cooperative human effort towards achieving some common goals. Thus every group activity involves administration, whether in a family, factory, hospital, university, or a government department. Whenever two or more people cooperate in view of doing a thing that cannot be taken up alone, the concept of administration appear. The word administration has been derived from the Latin words 'ad' and 'ministration' which means to serve. In simple language, it means the 'management of affairs' or 'looking after the people'. To administer is to manage, direct and serve. L.D. White (1948) views that "the art of administration is the direction, coordination and control of many persons to achieve some purpose or objective."

E.A. Nigro (1951) defines "administration as the organization and use of men and materials to accomplish a purpose." According to Herbert A. Simon (1960) "In its broadest sense, administration can be defined as the activities of groups co-operative to accomplish common goals.

Pfeiffer defines "administration as the organization and direction of human and material resources to achieve desired ends." Thus, it is clear from above definitions that administration is concerned with proper organization of men and material to achieve desired ends. It consists of doing the work or getting the work done by others.

#### **Social Administration**

Social Administration To achieve the aims and objectives of social welfare, the government formulates social policies and programs and in pursuance thereof enacts social legislation,

allocates financial assistance and provides organizational and administrative linkages in the form of ministries and departments. It also seeks the partnership of non-governmental organizations for the effective implementation of various social welfare programs. Administration of all these activities being undertaken in the sphere of social services and social welfare is considered as falling in the realm of social welfare administration.

According to Richard M. Titmus, 1948, "Social administration may broadly be defined as the study of social services whose object is the improvement of conditions of life of the individual in the setting of family and group relations." D.V. Donnison, 1961 defines social administration "as the study of development, structure and practices of social services."

According to Forder (1974) "Social administration is concerned with study of the welfare system, and particularly the government sponsored social services". Thus, social administration is concerned with the study of welfare system of government's sponsored social services

#### **Management- Concept, Aims and Scope**

Management in social welfare administration refers to the systematic process of planning, organizing, staffing, directing, coordinating, and evaluating resources and activities to achieve the goals of social welfare programs. It involves overseeing and coordinating efforts to deliver services and support to vulnerable populations, ensuring efficiency, effectiveness, and ethical standards are maintained.

#### Aims:

- 1. **Service Delivery:** Ensure the effective and equitable delivery of social welfare services to those in need, such as assistance programs, healthcare services, housing support, and educational programs.
- 2. **Resource Optimization:** Efficiently manage resources, including financial, human, and material resources, to maximize the impact and reach of social welfare initiatives.
- 3. **Impact Assessment:** Monitor and evaluate the outcomes and impact of social welfare programs to assess effectiveness, identify areas for improvement, and ensure accountability.
- 4. **Policy Implementation:** Implement policies and regulations related to social welfare to promote social justice, equity, and the well-being of communities and individuals.
- 5. **Advocacy and Collaboration:** Advocate for the rights and needs of vulnerable populations, collaborate with stakeholders, and build partnerships to address systemic challenges and promote inclusive social policies.

#### Scope:

- 1. **Program Development:** Design and develop social welfare programs tailored to meet the needs of specific populations, such as children, elderly, persons with disabilities, and low-income families.
- 2. **Financial Management:** Budgeting, allocating funds, and financial oversight to ensure resources are utilized efficiently and transparently.

- 3. **Human Resources:** Recruitment, training, and management of staff, volunteers, and community partners involved in delivering social welfare services.
- 4. **Policy Analysis:** Analyze social policies, laws, and regulations to assess their impact on vulnerable populations and advocate for changes that promote social equity and justice.
- 5. **Community Engagement:** Engage with communities, stakeholders, and service users to understand their needs, preferences, and concerns, and involve them in decision-making processes.

In essence, management in social welfare administration aims to promote social justice, equity, and the well-being of individuals and communities through effective planning, implementation, and evaluation of social welfare programs and policies. It requires collaboration, empathy, and a commitment to improving the lives of those in need.

#### Concepts of Social Welfare Administration and Public Administration

Social welfare administration focuses specifically on managing programs and services aimed at promoting the well-being of individuals and communities. It integrates principles of social justice, equity, and human rights into the management of resources and delivery of services. Key concepts include:

- 1. **Social Justice:** Ensuring fair distribution of resources and opportunities to address social inequalities and promote equal rights for all individuals.
- 2. **Human Rights:** Upholding the rights and dignity of vulnerable populations, such as children, elderly, persons with disabilities, and marginalized groups.
- 3. **Needs Assessment:** Identifying and prioritizing the needs of communities and individuals to tailor services and interventions accordingly.
- 4. **Service Delivery:** Efficient and equitable provision of social services, such as healthcare, education, housing, and income support, to enhance quality of life and promote social inclusion.
- 5. **Advocacy:** Advocating for policies and programs that address systemic barriers and promote social change to improve the well-being of vulnerable populations.
- 6. **Community Engagement:** Engaging with communities, stakeholders, and service users to involve them in decision-making processes and ensure services meet their needs effectively.

## **Concepts of Public Administration:**

Public administration encompasses the management and implementation of public policies and programs across government agencies and institutions. It focuses on ensuring efficient, accountable, and transparent governance to serve the public interest. Key concepts include:

- 1. **Policy Implementation:** Implementing laws, regulations, and policies adopted by government bodies to address public issues and achieve policy goals.
- 2. **Public Service:** Delivering services and programs to meet the needs of citizens and communities, such as public safety, infrastructure development, and environmental protection.

- 3. **Budget and Finance:** Managing public finances, budgeting, and allocating resources to support government programs and services.
- 4. **Governance and Leadership:** Providing leadership, direction, and strategic management within government agencies to promote effective decision-making and administration.
- 5. **Accountability and Transparency:** Ensuring accountability for public resources and decisions, and maintaining transparency in government operations and communications.
- 6. **Ethics and Integrity:** Upholding ethical standards and integrity in public service delivery, decision-making, and interactions with stakeholders and the public.

### Relationship between Social Welfare Administration and Public Administration:

While social welfare administration focuses specifically on managing social welfare programs and services to promote the well-being of vulnerable populations, public administration provides the overarching framework for managing all aspects of government operations and public service delivery. Social welfare administration is a subset of public administration, focusing on specialized areas such as social services, healthcare, education, and income support, which are critical to enhancing social equity and addressing socio-economic disparities.

In summary, both social welfare administration and public administration play crucial roles in managing resources, implementing policies, and delivering services to meet the diverse needs of individuals and communities, with a shared goal of promoting public welfare and enhancing the quality of life for all citizens.

#### **Functions of Administration (PODSCORB)**

The functions of administration, often referred to by the acronym PODSCORB, were originally developed by management theorist Luther Gulick in the early 20th century. These functions provide a framework for understanding the key activities and responsibilities of administrators in various organizations, including government agencies, businesses, and non-profit organizations. Here's what each letter in PODSCORB represents:

#### 1. **P**lanning:

- Definition: Planning involves setting objectives, developing strategies, and determining the actions needed to achieve organizational goals.
- Activities: Formulating policies, setting priorities, establishing targets, and allocating resources effectively.

## 2. Organizing:

- o **Definition**: Organizing involves arranging tasks, resources, and people in a structured way to achieve organizational objectives.
- Activities: Establishing organizational structure, defining roles and responsibilities, creating departments or divisions, and delegating authority.

## 3. **D**irecting:

- Definition: Directing involves leading, guiding, and motivating individuals and teams to accomplish organizational goals.
- o **Activities**: Providing instructions, communicating expectations, motivating employees, resolving conflicts, and overseeing day-to-day operations.

#### 4. Staffing:

- o **Definition**: Staffing involves selecting, recruiting, training, and developing the right people for the right positions within the organization.
- o **Activities**: Recruitment and selection processes, training and development programs, performance evaluation, and career planning.

## 5. Coordinating:

- o **Definition**: Coordinating involves harmonizing and synchronizing activities and efforts across different parts of the organization to achieve unity of action.
- o **Activities**: Establishing communication channels, integrating work processes, resolving inter-departmental conflicts, and ensuring collaboration.

#### 6. **R**eporting:

- o **Definition**: Reporting involves keeping stakeholders informed about organizational activities, progress, and performance.
- Activities: Providing regular updates, preparing reports, communicating results, and presenting information to stakeholders such as management, board members, and the public.

#### 7. **B**udgeting:

- o **Definition**: Budgeting involves allocating financial resources, preparing budgets, and monitoring expenditures to ensure financial stability and accountability.
- o **Activities**: Financial planning, budget preparation, cost estimation, monitoring expenses, and adjusting financial plans as needed.

These functions provide a comprehensive framework for understanding the roles and responsibilities of administrators in managing organizations effectively. While originally developed for public administration contexts, the PODSCORB framework has been adapted and applied in various sectors to guide managerial practices and ensure efficient operations.

#### Organizational Behavior- Meaning, Nature, Elements and Importance

**Organizational behavior** refers to the study of how individuals and groups behave within an organization and how these behaviors affect organizational effectiveness. It involves understanding, predicting, and influencing human behavior to achieve organizational goals and improve performance.

## **Nature of Organizational Behavior:**

- 1. **Interdisciplinary Approach**: OB draws from various disciplines such as psychology, sociology, anthropology, economics, and political science to understand individual, group, and organizational dynamics.
- 2. **Focus on Behavior**: It focuses on studying individual behavior (attitudes, perceptions, motivation), group behavior (team dynamics, leadership), and organizational behavior (culture, structure, processes).
- 3. **Systematic Study**: OB uses systematic research methods to analyze and interpret human behavior in organizations, aiming to identify patterns, correlations, and causal relationships.

4. **Applied Science**: It applies theories, concepts, and principles to solve practical problems related to employee performance, job satisfaction, organizational culture, and effectiveness.

## **Elements of Organizational Behavior:**

#### 1. Individual Behavior:

- o **Attitudes and Job Satisfaction**: Study of employees' attitudes towards work, job roles, and organizational climate.
- **Motivation**: Understanding what drives employees to perform and achieve organizational objectives.
- o **Personality and Perception**: Examining individual differences in personality traits and how perception influences behavior.

#### 2. Group Behavior:

- o **Team Dynamics**: Analyzing how teams are formed, developed, and managed to enhance collaboration and productivity.
- **Leadership**: Study of leadership styles, behaviors, and their impact on team performance and organizational outcomes.
- o **Communication**: Understanding how communication processes affect group cohesion, decision-making, and organizational culture.

## 3. Organizational Behavior:

- o **Organizational Culture**: Examination of shared values, beliefs, norms, and practices that shape organizational behavior and performance.
- o **Structure**: Analysis of formal and informal structures, roles, and relationships within organizations.
- o **Change Management**: Study of how organizations manage and adapt to change, including resistance to change and strategies for successful implementation.

## **Importance of Organizational Behavior:**

- 1. **Enhanced Employee Performance**: Understanding OB helps in improving job satisfaction, motivation, and productivity among employees.
- 2. **Effective Leadership**: OB insights enable leaders to adopt appropriate leadership styles, build effective teams, and foster a positive work environment.
- 3. **Optimized Organizational Structure**: By analyzing OB, organizations can design effective structures that promote communication, collaboration, and efficiency.
- 4. **Conflict Resolution**: Understanding OB helps in managing conflicts, promoting teamwork, and resolving interpersonal disputes.
- 5. **Adaptability to Change**: OB equips organizations to navigate change effectively, innovate, and maintain competitive advantage in dynamic environments.
- 6. **Employee Well-being**: OB contributes to creating a supportive organizational culture that values diversity, promotes work-life balance, and enhances employee well-being.

In summary, organizational behavior is crucial for understanding and managing human behavior within organizations. It provides valuable insights and tools to enhance organizational

effectiveness, foster employee engagement, and create a positive work environment conducive to achieving strategic objectives.

#### **Human Resource Management-Objective, Functions and Scope**

**Human Resource Management (HRM)** plays a critical role in managing an organization's most valuable asset—its people. Here's an overview of its objectives, functions, and scope:

## **Objectives of Human Resource Management:**

- 1. **Optimizing Workforce Utilization**: Ensuring that the right people are in the right roles at the right time to maximize productivity and efficiency.
- 2. **Developing Human Capital**: Enhancing employees' skills, knowledge, and competencies through training, development programs, and career planning.
- 3. **Maintaining Organizational Culture**: Cultivating a positive work environment and reinforcing organizational values, ethics, and norms.
- 4. **Ensuring Legal Compliance**: Adhering to labor laws, regulations, and workplace policies to protect employees' rights and mitigate organizational risks.
- 5. **Enhancing Employee Satisfaction**: Promoting job satisfaction, motivation, and engagement through fair compensation, benefits, and recognition programs.
- 6. **Managing Change**: Facilitating organizational change initiatives and ensuring smooth transitions to align with strategic goals and market dynamics.

## **Functions of Human Resource Management:**

#### 1. Recruitment and Selection:

o Identifying staffing needs, sourcing candidates, conducting interviews, and selecting the best-fit candidates for vacant positions.

#### 2. Training and Development:

 Assessing training needs, designing and implementing training programs to enhance employee skills and knowledge, and supporting career development.

#### 3. Performance Management:

 Establishing performance standards, conducting performance appraisals, providing feedback, and implementing performance improvement plans.

## 4. Compensation and Benefits:

 Designing and administering competitive compensation packages, including salaries, bonuses, incentives, and employee benefits (healthcare, retirement plans, etc.).

#### 5. Employee Relations:

 Managing employee grievances, conflicts, and disciplinary actions, and fostering positive employer-employee relationships.

## 6. HR Planning and Forecasting:

 Forecasting future workforce needs, succession planning, and implementing strategies to align human resources with organizational goals.

### 7. Legal Compliance:

 Ensuring compliance with labor laws, regulations, and workplace policies related to employment practices, diversity, equal opportunity, and workplace safety.

## 8. Employee Engagement and Retention:

 Implementing initiatives to enhance job satisfaction, morale, and retention rates, including employee engagement surveys, recognition programs, and career development opportunities.

## **Scope of Human Resource Management:**

- 1. **Strategic HRM**: Aligning HR strategies with organizational objectives and contributing to strategic decision-making processes.
- 2. **Operational HRM**: Handling day-to-day HR activities and transactions, such as payroll processing, benefits administration, and record-keeping.
- 3. **Tactical HRM**: Implementing HR policies, procedures, and programs to achieve specific organizational goals and objectives.
- 4. **Global HRM**: Managing human resources in multinational companies, addressing cross-cultural challenges, and ensuring compliance with international labor laws and regulations.
- 5. **HR Analytics and Technology**: Utilizing HR analytics and technology (such as HRIS and data analytics) to enhance decision-making, streamline processes, and optimize HR functions.
- 6. **Ethical and Social Responsibilities**: Upholding ethical standards, promoting diversity and inclusion, and addressing social responsibilities related to employees, communities, and stakeholders.

In essence, HRM plays a pivotal role in managing the human capital of an organization to achieve strategic goals, foster employee development, ensure legal compliance, and create a positive work environment conducive to organizational success and growth.

#### **Concept of Public Relations**

Public Relations (PR) is a strategic communication process that builds mutually beneficial relationships between organizations and their stakeholders. It involves managing the spread of information and shaping the perception of an organization among various audiences, including the public, media, investors, employees, and government bodies. Here are key aspects of the concept of PR:

- 1. **Relationship Building**: PR focuses on cultivating and maintaining positive relationships with stakeholders to foster trust, credibility, and goodwill towards the organization.
- 2. **Strategic Communication**: PR professionals develop communication strategies and tactics to effectively convey messages that align with the organization's goals and values.
- 3. **Image and Reputation Management**: PR manages the organization's image and reputation by promoting positive news, handling crises, and mitigating negative publicity.
- 4. **Media Relations**: PR involves working with journalists and media outlets to generate media coverage, respond to inquiries, and influence media perceptions.

- 5. **Community Engagement**: PR engages with the community through corporate social responsibility (CSR) initiatives, sponsorships, and partnerships to demonstrate the organization's commitment to social causes.
- 6. **Internal Communication**: PR ensures effective communication within the organization by informing and engaging employees, aligning them with organizational goals, and fostering a positive work culture.
- 7. **Crisis Management**: PR prepares for and manages crises that may impact the organization's reputation, employing strategies to mitigate damage and restore trust.
- 8. **Public Affairs**: PR interacts with government agencies, policymakers, and regulatory bodies to influence public policies and regulations that affect the organization.
- 9. **Brand Promotion**: PR promotes the organization's brand identity, values, products, and services through targeted campaigns and messaging.
- 10. **Measurement and Evaluation**: PR measures the effectiveness of communication efforts through metrics such as media coverage, stakeholder perception surveys, and social media analytics.

## **Importance of Public Relations:**

- Enhanced Credibility and Trust: PR helps build credibility and trust among stakeholders by maintaining transparent and authentic communication.
- **Risk Mitigation**: Effective PR strategies anticipate and manage reputational risks, minimizing potential damage during crises.
- **Stakeholder Engagement**: PR facilitates meaningful engagement with stakeholders, fostering loyalty and support for the organization.
- **Influence and Advocacy**: PR influences public opinion, shapes perceptions, and advocates for the organization's interests and values.
- **Business Growth**: Positive PR enhances brand awareness, attracts customers, and contributes to organizational growth and profitability.

In summary, Public Relations is a strategic function that integrates communication, relationship management, and reputation enhancement to support organizational objectives and maintain positive interactions with diverse stakeholders in today's dynamic and interconnected world.

## **Unit-II**

## Need for Welfare and Developmental Organizations

Welfare and developmental organizations play a crucial role in addressing societal challenges, promoting sustainable development, and improving the well-being of individuals and communities. Here are some key reasons highlighting the need for such organizations:

## **Addressing Social Inequities:**

1. **Poverty Alleviation**: Welfare organizations work towards reducing poverty by providing essential services such as food assistance, healthcare, housing support, and education initiatives for marginalized and vulnerable populations.

- 2. **Social Justice**: These organizations advocate for human rights, equality, and social justice, addressing systemic barriers and discrimination based on race, gender, disability, and socioeconomic status.
- 3. **Inclusive Growth**: Developmental organizations promote inclusive economic growth by empowering disadvantaged groups through skills training, microfinance, and entrepreneurship programs.

## **Promoting Health and Well-being:**

- 4. **Healthcare Access**: Welfare organizations improve access to healthcare services, preventive care, and medical treatment in underserved communities, contributing to better health outcomes and disease prevention.
- 5. **Mental Health Support**: They provide mental health services, counseling, and support networks to address psychological well-being and reduce stigma associated with mental illness.
- 6. **Disaster Relief and Emergency Response**: Developmental organizations respond to natural disasters, humanitarian crises, and emergencies by providing relief aid, shelter, and rehabilitation services to affected populations.

## **Advancing Education and Empowerment:**

- 7. **Education Initiatives**: These organizations promote education and literacy by building schools, providing scholarships, and offering educational resources to enhance learning opportunities, especially for children from disadvantaged backgrounds.
- 8. **Skill Development**: They facilitate vocational training, job placement services, and capacity-building programs to equip individuals with skills and knowledge for sustainable employment and economic independence.

## **Environmental Conservation and Sustainability:**

- 9. **Environmental Protection**: Developmental organizations promote sustainable development practices, conservation of natural resources, and climate action initiatives to mitigate environmental degradation and preserve ecosystems.
- 10. **Community Resilience**: They strengthen community resilience through disaster preparedness training, infrastructure development, and sustainable livelihood projects that enhance local economies and social cohesion.

## **Advocacy and Policy Influence:**

- 11. **Policy Advocacy**: Welfare and developmental organizations advocate for policy reforms, legislative changes, and social policies that address systemic issues, promote human rights, and improve quality of life for all individuals.
- 12. **Public Awareness**: They raise awareness about social issues, promote civic engagement, and mobilize public support to drive positive social change and empower communities to advocate for their rights.

#### **Conclusion:**

In summary, welfare and developmental organizations play a vital role in creating a more equitable, inclusive, and sustainable society. By addressing social inequities, promoting health and well-being, advancing education and empowerment, protecting the environment, and advocating for policy reforms, these organizations contribute to building resilient communities and fostering a brighter future for individuals and societies globally. Their work is essential in bridging gaps, promoting social justice, and ensuring that everyone has access to opportunities and resources needed to thrive.

## Concept, Need and Process of Registration of Organizations

Registration of organizations refers to the formal process through which an entity, such as a non-profit organization (NPO), charity, trust, or society, obtains legal recognition from the government or relevant regulatory authorities. It establishes the organization as a legal entity with rights and responsibilities, allowing it to operate within the legal framework of the country or jurisdiction.

## **Need for Registration of Organizations:**

- 1. **Legal Recognition**: Registration grants legal status to the organization, enabling it to enter into contracts, own property, and sue or be sued in its own name.
- 2. **Credibility and Trust**: Registration enhances credibility and trust among donors, stakeholders, and the public, demonstrating compliance with legal and regulatory standards
- 3. **Access to Funding**: Many donors and funding agencies require organizations to be registered to qualify for grants, donations, and government funding.
- 4. **Tax Exemptions**: Registered organizations may be eligible for tax exemptions or benefits, reducing operational costs and enhancing financial sustainability.
- 5. **Accountability**: Registration imposes regulatory requirements such as financial reporting and governance standards, ensuring transparency and accountability in organizational operations.
- 6. **Legal Protections**: It provides legal protections to the organization's assets, governing documents, and intellectual property rights.

## **Process of Registration of Organizations:**

- 1. **Preparation**: Gather necessary documentation, including the organization's mission, objectives, governing structure, bylaws or constitution, financial statements, and details of founding members.
- 2. **Choosing Legal Structure**: Decide on the legal structure of the organization, such as non-profit company, trust, society, or charitable institution, based on legal requirements and organizational goals.
- 3. **Application Submission**: Complete the registration application form provided by the relevant government agency or regulatory body. Include required documents and pay any applicable fees.

- 4. **Review and Approval**: The registration authority reviews the application, verifying compliance with legal requirements, and may conduct background checks on the organization's founders or directors.
- 5. **Issuance of Certificate**: Upon approval, the organization receives a certificate of registration or incorporation, confirming its legal status and registration number.
- 6. **Post-Registration Compliance**: Comply with ongoing regulatory requirements, such as annual reporting, filing of financial statements, and updating of organizational information as required by law.
- 7. **Renewal**: Renew registration periodically as per regulatory guidelines to maintain legal status and continue operations.

#### **Conclusion:**

Registration of organizations is essential for establishing legal recognition, credibility, and accountability in the eyes of stakeholders and regulatory authorities. It facilitates access to resources, funding, and tax benefits while ensuring compliance with legal standards and promoting transparency in organizational governance and operations. Proper registration empowers organizations to effectively pursue their missions, serve their communities, and contribute positively to society under the protection of the law.

### Types of Services, program Delivery and Eligibility Criteria

To provide a comprehensive understanding, let's break down the types of services, program delivery methods, and eligibility criteria typically associated with organizations, particularly non-profit and social welfare entities:

## **Types of Services:**

#### 1. Basic Needs Services:

- o **Food Assistance**: Providing meals, groceries, food banks, and nutritional support.
- Housing Support: Shelter, transitional housing, and homelessness prevention programs.
- Clothing and Personal Items: Distribution of clothing, hygiene products, and essential supplies.

### 2. Healthcare and Medical Services:

- Medical Clinics: Offering primary healthcare services, vaccinations, and preventive care.
- Mental Health Services: Counseling, therapy, support groups, and crisis intervention.
- Dental Care: Dental clinics, oral hygiene education, and dental treatment services.

#### 3. Educational Services:

- School Support: Tutoring, after-school programs, literacy classes, and educational materials.
- Skill Development: Vocational training, job readiness workshops, and career counseling.

 Scholarships and Education Grants: Financial aid for tuition fees, books, and educational expenses.

#### 4. Social Services:

- Case Management: Individualized support, referrals to community resources, and advocacy.
- o Family Support: Parenting classes, child care assistance, and family counseling.
- Legal Assistance: Pro bono legal services, rights advocacy, and access to justice initiatives.

## 5. Community Development:

- o **Infrastructure Projects**: Building and maintaining community centers, parks, and public facilities.
- Economic Empowerment: Microfinance programs, entrepreneurship training, and small business support.
- o **Environmental Sustainability**: Recycling programs, conservation efforts, and eco-friendly initiatives.

#### **Program Delivery Methods:**

#### 1. Direct Service Provision:

 Services are delivered directly to individuals or communities in need, often through on-site facilities, mobile outreach, or home visits.

## 2. Partnerships and Collaborations:

 Organizations collaborate with other non-profits, government agencies, and private sector entities to deliver integrated services and leverage resources.

## 3. Online and Digital Platforms:

 Virtual service delivery through websites, mobile apps, telehealth, and online counseling to reach remote or underserved populations.

### 4. Volunteer and Community Engagement:

o Mobilizing volunteers, community members, and stakeholders to participate in service delivery, advocacy campaigns, and fundraising efforts.

## 5. Capacity Building and Training:

 Building organizational capacity through staff development, skills training for beneficiaries, and capacity-building workshops for community leaders.

## **Eligibility Criteria:**

## 1. Income-Based Eligibility:

 Individuals and families must meet specific income thresholds or demonstrate financial need to qualify for services.

## 2. Demographic Criteria:

 Services may target specific demographics such as children, seniors, veterans, persons with disabilities, or immigrants/refugees.

### 3. Geographic Location:

 Eligibility may be based on residency in a particular city, county, state, or rural/urban area served by the organization.

## 4. Special Needs or Conditions:

 Services may be tailored for individuals with specific needs, such as homeless individuals, survivors of domestic violence, or individuals with chronic illnesses.

#### 5. Referral or Assessment:

 Some programs require referrals from social workers, healthcare providers, or community agencies, or involve assessments to determine eligibility based on criteria such as vulnerability or risk factors.

Understanding these aspects helps organizations tailor their services effectively, ensure inclusivity, and meet the diverse needs of their target populations while adhering to regulatory requirements and promoting equitable access to services and programs.

## **UNIT-III**

### **Organizational Policies and Practices**

Organizational policies and practices are essential guidelines and procedures that guide the behavior, decisions, and operations within an organization. They are designed to ensure consistency, efficiency, compliance with legal requirements, and alignment with the organization's mission, values, and strategic goals. Here's a breakdown of organizational policies and practices:

## **Organizational Policies:**

#### 1. **Definition**:

 Policies are formal statements that outline the rules, principles, and guidelines governing organizational behavior, decision-making, and operations. They provide a framework for consistency and accountability.

### 2. Types of Policies:

- o **Human Resources Policies**: Covering areas such as recruitment, hiring, training, performance management, compensation, benefits, and employee relations.
- o **Financial Policies**: Governing budgeting, accounting practices, financial reporting, procurement, and asset management.
- o **Operational Policies**: Addressing day-to-day operations, procedures, safety protocols, security measures, and disaster preparedness.
- o **Ethical and Compliance Policies**: Ensuring ethical behavior, integrity, and adherence to legal and regulatory requirements.
- o **Information Technology Policies**: Guiding use of technology resources, data security, privacy protection, and acceptable use of organizational systems.

### 3. Purpose and Benefits:

- Consistency: Ensuring consistent application of rules and standards across the organization.
- Risk Management: Mitigating risks associated with legal liabilities, operational inefficiencies, and reputational damage.
- Employee Guidance: Providing clarity on expected behavior, rights, responsibilities, and consequences for non-compliance.
- Stakeholder Trust: Building trust among stakeholders by demonstrating commitment to ethical practices, compliance, and accountability.

## **Organizational Practices:**

#### 1. **Definition**:

o **Practices** refer to the methods, procedures, and behaviors that are implemented and followed within the organization based on its policies and culture. They translate policies into action.

## 2. Examples of Organizational Practices:

- Recruitment and Selection Practices: Processes for sourcing candidates, conducting interviews, and making hiring decisions aligned with equal employment opportunity principles.
- o **Performance Management Practices**: Systems for setting goals, conducting performance reviews, providing feedback, and recognizing achievements.
- Training and Development Practices: Programs and initiatives to enhance employee skills, knowledge, and competencies aligned with organizational needs and strategic objectives.
- Communication Practices: Channels and protocols for internal and external communication, ensuring transparency, information sharing, and stakeholder engagement.
- o **Safety and Health Practices**: Procedures for workplace safety, occupational health, emergency response, and compliance with health and safety regulations.
- **Environmental Practices**: Initiatives to promote environmental sustainability, resource conservation, waste reduction, and eco-friendly practices.

## 3. Alignment with Policies:

o Practices are aligned with organizational policies to ensure that actions and decisions reflect the organization's values, goals, and ethical standards.

## Implementation and Review:

## 1. **Implementation**:

 Policies and practices are implemented through training, communication, and enforcement mechanisms to ensure understanding and compliance among employees and stakeholders.

## 2. Review and Updates:

 Policies and practices are periodically reviewed, revised, and updated in response to changing organizational needs, external factors, and feedback from stakeholders.

By establishing clear policies and implementing effective practices, organizations promote consistency, accountability, ethical behavior, and operational efficiency. These frameworks contribute to creating a positive organizational culture, enhancing stakeholder trust, and achieving sustainable success.

Organizational policies and practices refer to formalized guidelines, rules, procedures, and behaviors that govern the actions, decisions, and operations within an organization. They are designed to provide clarity, consistency, and structure in how employees conduct themselves and perform their roles.

## **Importance**:

- 1. **Consistency and Accountability**: Policies ensure uniformity in decision-making and behavior across the organization, fostering accountability among employees.
- 2. **Risk Management**: Policies mitigate legal, financial, and reputational risks by outlining standards and procedures for compliance.
- 3. **Employee Guidance**: They provide employees with clear expectations, rights, and responsibilities, reducing ambiguity and promoting fairness.
- 4. **Stakeholder Trust**: Well-defined policies enhance trust among stakeholders, including employees, customers, investors, and the public, by demonstrating commitment to ethical standards and compliance.
- 5. **Operational Efficiency**: Practices streamline processes, optimize resource allocation, and improve productivity by providing structured guidelines for performing tasks.

## **Authority and Leadership:**

## **Concept and Meaning:**

- **Authority** refers to the legitimate power or right to give orders, make decisions, and enforce obedience within an organization. It is vested in positions of formal authority, such as managers, directors, and executives, based on their roles and responsibilities.
- **Leadership**, on the other hand, is the ability to influence, motivate, and guide others towards achieving shared goals. It involves vision, inspiration, communication, and the ability to empower and develop people.

#### **Importance**:

- 1. **Effective Decision-making**: Authority enables leaders to make timely and informed decisions, allocating resources and directing activities to achieve organizational objectives.
- 2. **Clear Direction**: Leadership provides vision and strategic direction, aligning individual and team efforts with organizational goals and priorities.
- 3. **Motivation and Engagement**: Leaders inspire and motivate employees by fostering a sense of purpose, belonging, and commitment to shared goals.
- 4. **Conflict Resolution**: Authority helps resolve conflicts and disagreements by providing a framework for decision-making and establishing guidelines for behavior and expectations.
- 5. **Organizational Culture**: Leadership shapes organizational culture by exemplifying values, promoting collaboration, and creating a positive work environment.

In summary, organizational policies and practices set the framework for consistent behavior and decision-making within an organization, promoting accountability and mitigating risks. Authority provides the formal power to enforce policies and make decisions, while effective leadership inspires and guides individuals and teams towards achieving organizational goals and fostering a positive organizational culture. Together, these elements contribute to organizational effectiveness, employee satisfaction, and sustainable success.

#### **Unit-IV**

# Process and phases of program Planning, Implementation, Documentation and maintenance of records

Programme planning, implementation, documentation, and maintenance of records are integral processes in managing and evaluating projects or initiatives within organizations. Here's a breakdown of each phase:

## 1. Programme Planning:

#### **Process:**

- **Needs Assessment**: Identify the problem or need the program aims to address through research, stakeholder consultations, and data analysis.
- **Goal Setting**: Establish clear, measurable objectives and outcomes that the program intends to achieve.
- **Resource Allocation**: Determine the human, financial, and material resources required for the program.
- **Design Strategy**: Develop a detailed plan outlining activities, timelines, responsibilities, and milestones.
- **Stakeholder Engagement**: Involve key stakeholders, including beneficiaries, partners, and funders, in the planning process to ensure alignment and support.

## **Importance:**

- Ensures clarity of purpose and alignment with organizational goals.
- Provides a roadmap for effective resource utilization and program execution.
- Establishes criteria for evaluating success and impact.

## 2. Programme Implementation:

#### **Process:**

- **Execution of Activities**: Carry out planned activities according to the established timeline and strategy.
- **Monitoring and Evaluation**: Regularly assess progress, identify challenges, and make necessary adjustments.
- **Communication**: Maintain open communication channels among team members, stakeholders, and beneficiaries.
- **Quality Assurance**: Ensure activities are implemented with high standards of quality and adherence to policies and procedures.
- **Risk Management**: Mitigate risks that may impact program success or delivery.

## **Importance:**

- Translates planning into action to achieve program goals.
- Facilitates adaptation to unforeseen challenges or changes.
- Builds momentum and engagement among stakeholders.

#### 3. Documentation:

#### **Process:**

- **Data Collection**: Gather information, data, and evidence related to program activities, outputs, and outcomes.
- **Record Keeping**: Maintain accurate and comprehensive records of expenditures, achievements, challenges, and lessons learned.
- **Reporting**: Prepare periodic reports for internal stakeholders, funders, and regulatory bodies, documenting program progress and impact.
- **Documentation Standards**: Follow organizational and regulatory guidelines for documentation and reporting.

## **Importance:**

- Provides evidence of program effectiveness and accountability.
- Facilitates transparency and communication with stakeholders.
- Supports future planning, decision-making, and program improvement.

#### 4. Maintenance of Records:

### **Process:**

- Storage and Organization: Securely store records in accessible formats, ensuring confidentiality and data protection.
- **Retention Policies**: Adhere to retention schedules for different types of records based on legal and organizational requirements.
- **Archiving**: Transfer inactive or historical records to archives while maintaining accessibility for reference or audits.
- **Data Security**: Implement measures to protect records from loss, unauthorized access, or breaches.

#### **Importance:**

- Ensures compliance with legal, regulatory, and organizational standards.
- Preserves institutional memory and knowledge for continuity and future reference.
- Facilitates audits, evaluations, and assessments of program performance.

#### **Conclusion:**

Effective program planning, implementation, documentation, and maintenance of records are essential for achieving programmatic goals, ensuring accountability, and demonstrating impact to

stake holders. Each phase contributes to the success and sustainability of programs by providing structure, oversight, and evidence-based insights for continuous improvement and learning within organizations.

#### **Concept of Budget and Accounts**

A **budget** is a financial plan that outlines expected revenues and expenditures over a specific period, typically a fiscal year. It serves as a roadmap for allocating resources and managing finances to achieve organizational goals.

## **Key Elements of a Budget:**

- 1. **Revenue Projections**: Estimated income sources, such as grants, donations, fees, and earned income.
- 2. **Expense Categories**: Planned expenditures categorized by operational expenses, salaries, programs, overhead costs, etc.
- 3. **Budget Variance**: Comparison of actual expenditures and revenues against the budgeted amounts to monitor financial performance.
- 4. **Budget Cycle**: Process of creating, approving, implementing, and evaluating the budget periodically.

### **Importance of Budgeting:**

- **Financial Planning**: Helps organizations plan and prioritize spending to meet strategic objectives.
- **Resource Allocation**: Allocates resources efficiently and ensures funds are used effectively.
- **Performance Evaluation**: Provides a benchmark for evaluating financial performance and making informed decisions.
- **Decision-Making**: Guides decision-making on investments, cost controls, and operational improvements.

#### **Accounts:**

**Definition:** Accounts refer to financial records that track transactions, assets, liabilities, income, and expenses of an organization. They provide a detailed and systematic overview of the organization's financial activities and position.

### **Types of Accounts:**

- 1. **Asset Accounts**: Record assets owned by the organization, such as cash, accounts receivable, inventory, and property.
- 2. **Liability Accounts**: Track debts and obligations, including accounts payable, loans, and accrued expenses.
- 3. **Income Accounts**: Document sources of revenue, donations, grants, and other forms of income.

4. **Expense Accounts**: Capture expenditures incurred in operations, including salaries, utilities, supplies, and program costs.

## **Key Aspects of Accounts:**

- **Double-Entry Accounting**: Uses debits and credits to record transactions accurately and maintain balance in financial statements.
- **Financial Statements**: Summarize accounts to produce balance sheets, income statements, and cash flow statements for financial reporting.
- **Auditing and Compliance**: Ensures accuracy, transparency, and compliance with accounting principles, regulations, and standards.
- **Financial Analysis**: Facilitates analysis of financial health, trends, and performance to support strategic planning and decision-making.

### **Importance of Accounts:**

- **Financial Control**: Provides control over financial activities, ensuring accountability and preventing fraud or mismanagement.
- **Reporting**: Generates financial reports for stakeholders, management, donors, and regulatory authorities.
- **Decision Support**: Provides data and insights for budgeting, forecasting, and evaluating financial strategies.
- **Legal Compliance**: Ensures adherence to tax laws, regulations, and financial reporting requirements.

In summary, budgeting and accounts are fundamental components of financial management in organizations, enabling effective planning, resource allocation, financial control, and decision-making to achieve strategic objectives and ensure financial sustainability.

## <u>Unit-V</u>

### **Social action**

**Social action** refers to organized efforts by individuals, groups, or communities to bring about social change, address injustices, and advocate for the rights and welfare of marginalized or disadvantaged populations. It is a fundamental concept in social work that emphasizes collective action to challenge social inequalities, promote human rights, and improve the quality of life for individuals and communities.

#### **Importance in Social Work:**

- 1. **Advocacy and Empowerment**: Social action empowers individuals and communities to advocate for their rights, voice their concerns, and participate in decision-making processes that affect their lives.
- 2. **Social Justice**: It promotes social justice by challenging discrimination, inequality, and oppression based on factors such as race, gender, socioeconomic status, and disability.

- 3. **Systemic Change**: Social action aims to bring about systemic changes in policies, laws, and societal structures to create a more equitable and inclusive society.
- 4. **Community Development**: It fosters community cohesion, solidarity, and collective problem-solving to address local issues and improve community well-being.
- 5. **Enhanced Service Delivery**: Social action enhances the effectiveness of social services by advocating for improved service delivery, access to resources, and support for vulnerable populations.

#### **Characteristics of Social Action:**

- 1. **Collective Effort**: Involves collective efforts by individuals, groups, or communities working together towards common goals of social change and improvement.
- 2. **Consciousness-Raising**: Raises awareness about social issues, injustices, and disparities to mobilize support and action.
- 3. **Strategic Advocacy**: Utilizes strategic advocacy methods such as lobbying, campaigning, protest, media engagement, and legal action to influence policies and practices.
- 4. **Nonviolent Resistance**: Often employs nonviolent methods to challenge unjust practices and promote peaceful social change.
- 5. **Sustainability**: Aims for sustainable impact by addressing root causes of social problems and promoting long-term solutions.
- 6. **Inclusivity**: Emphasizes inclusivity, diversity, and representation of diverse voices and perspectives in decision-making processes.
- 7. **Ethical Engagement**: Upholds ethical principles of social work, including respect for human rights, dignity, and self-determination of individuals and communities.

In conclusion, social action plays a pivotal role in social work by promoting social justice, advocating for human rights, and empowering individuals and communities to actively participate in shaping their social environment. It embodies the values of social work practice by striving for equitable outcomes, challenging injustice, and fostering community resilience and empowerment.